

*Invited Paper***Sub-THz quasi-optical wave control components**

Michael Petelin \*

Institute of Applied Physics, Nizhny Novgorod, Russian Academy of Sciences

\*E-mail: [petelin@appl.sci-nnov.ru](mailto:petelin@appl.sci-nnov.ru)

(Received January 22, 2012)

**Abstract:** In applications to the plasma fusion, material diagnostics, particle acceleration, radar and communication, at frequencies approaching the THz region, the standard microwave transmission and control components need to be replaced with their oversized, quasi-optical, analogs.

**Keywords:** Quasi-optical waveguides and cavities, Mode converters, Filters, Multiplexers and duplexers.

**doi:** [10.11906/TST.040-047.2012.03.04](https://doi.org/10.11906/TST.040-047.2012.03.04)

**1. Introduction**

At frequencies below  $\sim 30$  GHz, we use simple reliable waveguides and cavities of cross dimensions commensurable with the wavelength. However, at scaling of such components to higher frequencies we meet enhancing difficulties: the loss enhancement and the RF breakdown threshold decrement. These difficulties may be, naturally, avoided by using components which cross dimensions are much larger than the wavelength. However, to keep the RF field coherence in such oversized structures, we need to provide a mode selection. Going this way, we arrive to the quasi-optics.

**2. Oversized waveguides**

Of course, in a waveguide representing a metallic tube, the RF loss may be reduced and the RF breakdown may be excluded, if the waveguide is taken broad enough (for example, in the circular cross section waveguide, especially attractive is the  $H_{01}$  mode – with zero electric field at the waveguide surface). However, in reality, any irregularities of such a waveguide, in particular, junctions between sections, produce spurious modes. For this reason, in present-day experimental systems, especially at high RF powers, people prefer using mode filtering waveguides: corrugated metallic tubes (Fig. 1(a)) or mirror lines (Fig. 1(b)) [1-5]. These waveguides, operating at quasi-Gaussian modes, admit easy mutual couplings.

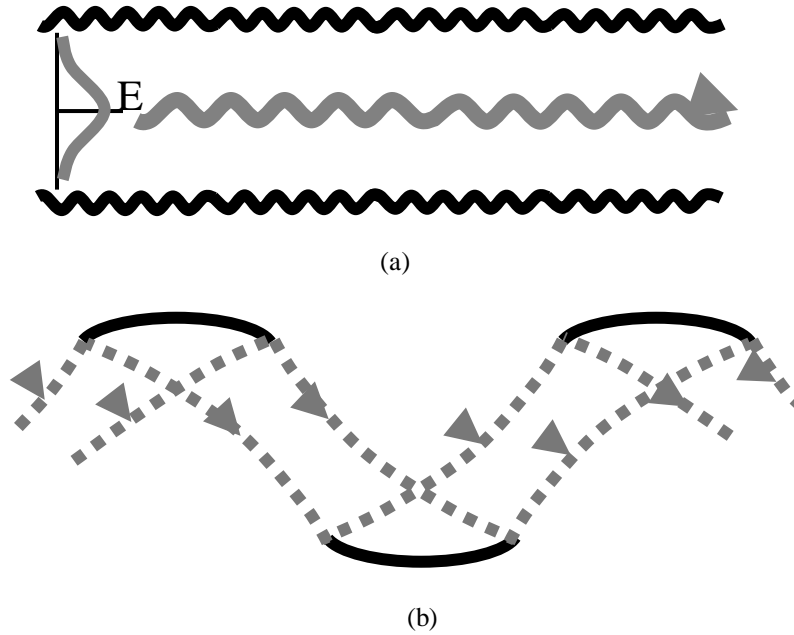


Fig. 1 Quasi-optical waveguides.

### 3. Quasi-optical mode converters

At relatively low frequencies, usual waveguides operating at different modes are mutually matched by transitions where higher order modes cannot propagate. The latter condition cannot be satisfied, if a high frequency is combined with a high power. In such cases, the following methods of mode conversion may be used:

Selective wave scattering [1], [3], [6]. The method may be exemplified with transformation of one mode of an axis-symmetrical waveguide into another mode of the same waveguide (Fig. 2). Both the input and the output modes are assumed to have rotating transverse structures with azimuthal indexes  $m_{in}$  and  $m_{out}$  and axial wave numbers  $h_{in}$  and  $h_{out}$ . These modes may be selectively coupled by an  $\bar{m}$ -entry helical corrugation of the waveguide surface under conditions

$$\begin{aligned}\bar{m} &= m_{out} - m_{in}, \\ \frac{2\pi}{d} &= h_{out} - h_{in},\end{aligned}$$

where  $d$  is the axial period of the corrugation. By analogy with beats between coupled oscillators, the input mode is totally converted to the output one at a proper length of the corrugated section.

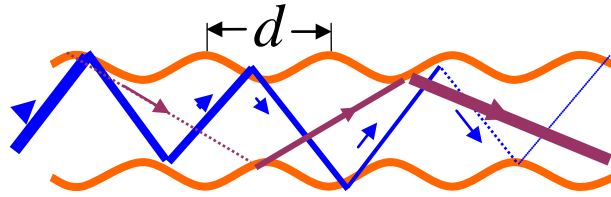


Fig. 2 Selective scattering of one mode to another mode in a corrugated waveguide.

Ray bunching [1], [3], [7-8]. In this case, the primary waveguide mode is approximated with a system of rays, the waveguide is asymmetrically cut, and downstream radiated rays are reflected in a necessary direction by properly shaped mirrors. To enlarge the percentage of the desirable (usually Gaussian) contents in the radiated wave pattern, the metallic surfaces are synthesized by using quasi-Maxwell equations. (Fig. 3),

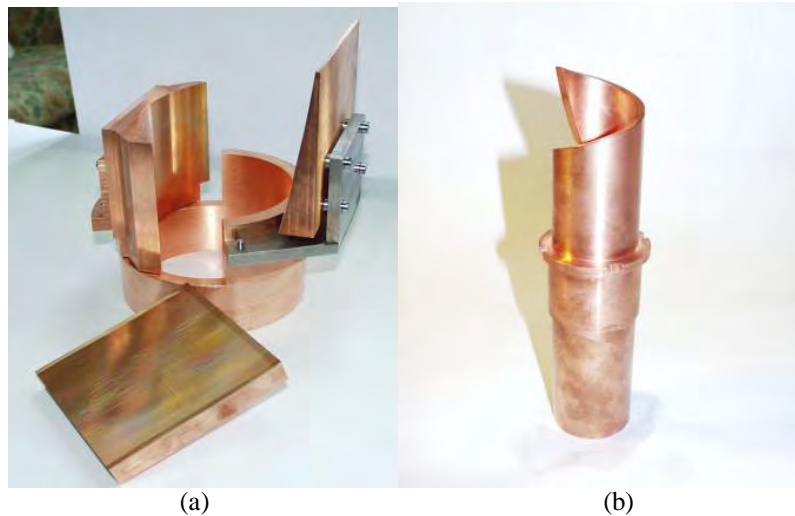


Fig. 3 Elements of antenna converting a high-order gyrotron mode to a Gaussian beam.

#### 4. Polarizers

If a wave beam is incident to a metallic grating [9] which period is small compared with the wavelength, we may present this wave as a sum of two waves: E- and H- polarized relative to the grating grooves. These waves penetrate between grooves at different effective depths and so, at the reflection, acquire different phase shifts. As a result, a linear polarized incident wave may turn circular polarized after the reflection and vice versa.

Two successive gratings may be composed into an universal polarizer [2-3] (Fig. 4): by rotations of these corrugated reflectors, any incident polarization can be converted into any desirable one.



Fig. 4 An universal polarizer combined with a miter bend (courtesy by V. Belousov).

## 5. Directional couplers

If a grating period is commensurable with the wavelength, the incident plane wave may be scattered into a number of diffraction maximums [9]. In particular, a shallow grating can direct the main energy of the incident wave into the mirror direction and a small part of the energy into the -1-st diffraction maximum. Such a grating can function as a bi-directional coupler [3] (Fig. 5): one channel gives a signal proportional to the forward wave and another channel gives a signal proportional to the wave reflected from the load.



Fig. 5 A bi-directional monitoring coupler implemented into a miter bend.

## 6. Isolators

Standard isolators (e.g., Faraday rotators) usable at relatively low frequencies cannot withstand high RF powers when scaled to the millimeter wave band. A robust quasi-optical isolator capable to function as a high power antenna duplexer (Fig. 6) [10-11] may be composed of two metallic gratings: a polarization separator (a version of the Littrow grating [9]) and a polarizer. The duplexer (Fig. 6) operates in the following way:

- The transmitter radiates a linear polarized wave. Reflected from the polarization separator into the mirror direction, the transmit wave keeps the linear polarization. Downstream, after reflection from the polarizer, the wave turns circular polarized and, finally, is emitted through the antenna.
- At the wave reflection from the target, the RF field rotation is assumed to be unchanged. But it is equivalent to the change of the field polarization relative to the wave propagation direction (for example, if the incident wave is left-polarized, the reflected wave is right-polarized). As a result, the echo wave, received with the antenna, after reflection from the polarizer acquires the linear polarization orthogonal to that of the transmit wave. Due to this, further downstream, the receive wave is forwarded by the polarization separator not into the transmitter, but into another direction - there we put a receiver.



Fig. 6 W-band duplexer (transmit-receive isolation  $-45$  dB, bandwidth 8%).

## 7. Quasi-optical resonators

Resonators capable to operate at high powers and high frequencies may be composed of mirrors; input and output wave beams may be coupled to such a resonator by a mirror corrugation. Resonators of the sort [2-3], [11] may function as RF filters, pulse compressors (Fig. 7) and resonant rings.

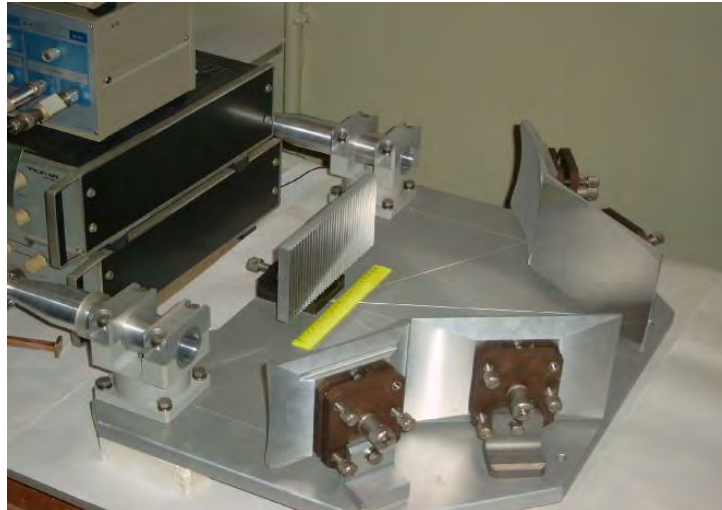


Fig. 7 A SLED-type RF pulse compressor.

A quasi-optical resonator with two corrugated mirrors may function as a diplexer: capable to combine or separate waves having, correspondingly, resonant and non-resonant frequencies. One of applications is the FADIS [11-12] (Fig. 8) representing a diplexer fed with wave beams from two frequency-controlled gyrotrons. At one combination of the gyrotron frequencies, the combined wave beam is radiated from one output of the FADIS; when the frequencies are reversed, the combined wave beam is radiated from another output of the FADIS. In addition, the FADIS can be used to combine the electron cyclotron heating and current drive with measuring the electron cyclotron emission from the tokamak plasma [13-14]; that will be useful to suppress hydrodynamic plasma instability.

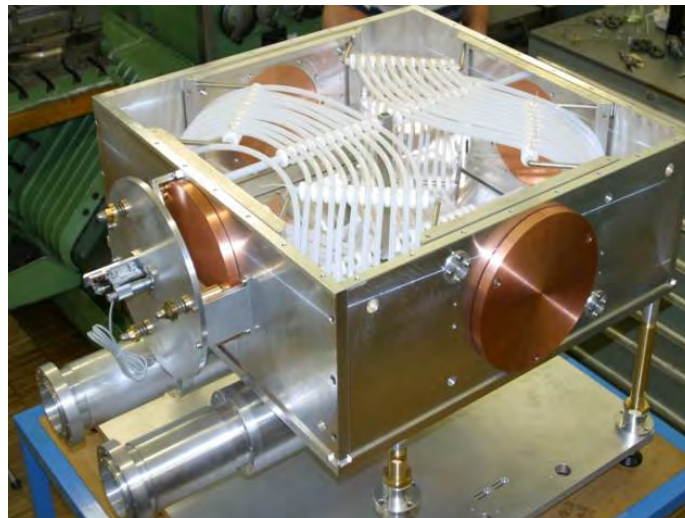


Fig. 8. FADIS planned for NTM stabilization at ASDEX Upgrade (courtesy by W. Kasperek).

A chain of diplexer represents a multiplexer [15] applicable to synthesize a broad frequency band of narrow sub-bands and, reciprocally, to distribute a broad-band signal between narrow-band channels.

## 8. Conclusion

The sub-terahertz quasi-optics is a field of interesting researches and promising applications.

## Acknowledgement

The author is grateful to Prof. Liu Shenggang, Prof. Michael Von Ortenberg, Prof. Manfred Thumm, Dr. Erich Grossman, Prof. Tahsin Akalin, Prof. Kodo Kawase and Prof. Hiroyuki Nojiri for constructive discussions at the SICAST-2011.

Recent results included in this paper were obtained at support by the grant 11-02-00554 of Russian Fund for Basic Research and the grant 047.018.002, Center of Excellence, Netherland.

## References

- [1] Thumm, M., "Modes and mode conversion in microwave devices", *Generation and Application of High Power Microwaves*, Ed. R. Cairns and A. Phelps, Inst. Phys. Publish., Bristol and Philadelphia, 121 – 170, (1997).
- [2] Petelin, M., Caryotakis, G., Tolkachev, A. et al., "Quasi-optical components for MMW fed radars and particle accelerators", *High Energy Density Microwaves*, Ed R. Phillips, AIP Conference Proceedings 474, Woodbury, NY, 304 – 315, (1998).
- [3] Thumm, M., and Kasperek, W., "Passive High-Power Microwave Components", *IEEE Trans. PS*, 30, 3, 755-786, (2002).
- [4] Henderson, M., Darbos, C., Abajar, F. et al., "Overview of the ITER ECH&CD system", Abstracts of 7-th International Workshop "Strong microwaves: sources and applications", *Nizhny Novgorod*, 69, (2008).
- [5] Olstad, R., Callis, R., Doane, J. et al., "ECR wave transmission and control structures", *ibid.* [4], 76.
- [6] Kovalev, N., Orlova, I., Petelin, M., "Mode conversion in multi-mode corrugated waveguides", *Radio Physics and Quantum Electronics*, 11, 4, 784-786, (1968).
- [7] Vlasov, S., Zagryadskaya, L., Kovalev, N., Orlova, I., Petelin. M., Abstracts of 5<sup>th</sup> All-Union symposium on wave propagation and diffraction, *Leningrad*, 91, (1970).
- [8] Denisov, G., Petelin, M., Vinogradov, D., "Efficient conversion of high waveguide modes into eigen-waves of open mirror lines", *Proc. 17<sup>th</sup> Conf. IR & MMW*, Pasadena, 124 -125, (1992).
- [9] Petit, R. (ed.), *Electromagnetic theory of gratings*, Springer-Verlag, (1980).
- [10] Hirshfield, J., Kolchin, P., Kuzikov, S., Petelin, M. "Quasi-optical antenna duplexer", *Digest 25<sup>th</sup> Conf. on IR&MMW*, Beijing, 405-406, (2000).
- [11] Petelin, M., Ereckmann, V., Hirshfield, J., et al., "New Concepts for Quasi-Optical Structures for use with Gyrotron Systems", *IEEE Transactions ED*, 56, 5, 561-565, (2009).

- [12] Kasperek, W., Petelin, M., Erckmann, V. et al., "Fast switching and power combination of high-power electron cyclotron wave beams: principles, numerical results, and experiments", *Fusion Science and Technology*, 52, 2, 281-290, (2007).
- [13] Bongers, W., Kasperek, W., Oosterbeek J. et al., "CW compatible implementation of line-on-sight ECE measurement within waveguide based ECRH transmission system", *ibid.* [4], 91.
- [14] Skvortsova, N., Batanov, G., Sarksyian, K. et al., "Opportunities for plasma diagnostics in fusion devices by means of terahertz sources", *ibid.* [4], 90.
- [15] Petelin, M., Caryotakis, G., Postoenko Yu. et al., "Quasi-optical multiplexers for space communication and radar with synthesized frequency band", *Quasi-optical control of intense microwave transmission*, Ed. J. Hirshfield and M. Petelin, NATO Science Series 2, Mathematics, Physics and Chemistry, 203, Springer, 185-198, (2005).